

# VOTING FORM

For the scheme of arrangement (the Scheme) proposed by Link Fund Solutions Limited (LFSL) in respect of the LF Equity Income Fund (formerly known as the LF Woodford Equity Income Fund) (the WEIF).

If you are unable to complete and submit the Voting Form through the online Voting Portal at <https://voting.lfwoodfordfundscheme.com>, please complete this Voting Form, and return it to LFSL by email or post (details of how to do so are included in the Important Notices section below).

The deadline for submitting a Voting Form for the Scheme is **5.00 p.m. on 4 December 2023**. If you or your proxy wish to attend the Scheme Meeting in person, you must submit your Voting Form **by 5.00 p.m. on 30 November 2023**.

***Please note:** While we have sought to make this Voting Form as simple as possible, a number of terms used in this Voting Form have a specific legal meaning. You can tell which words they are because they have capital letters or are included in bold. The meaning of these terms are set out in the Scheme Rules, which are available at [www.lfwoodfordfundscheme.com/documents](http://www.lfwoodfordfundscheme.com/documents). We have also defined these terms at the end of this form. Where a term is defined in the Scheme Rules, the legal meaning of that capitalised term shall be as set out in the Scheme Rules.*

*If you have any questions about the Scheme, or experience any difficulty in completing this Voting Form, please contact LFSL's dedicated helpline by phone at +44 20 3991 0224. You may also raise questions with the Investor Advocate by email at: [josephbannisterIA@dacbeachcroft.com](mailto:josephbannisterIA@dacbeachcroft.com).*

## **Important Notices**

1. The deadline for submitting a Voting Form for the Scheme is **5.00 p.m. on 4 December 2023**. If you or your proxy wish to attend the Scheme Meeting in person, you must submit your Voting Form **by 5.00 p.m. on 30 November 2023**.
2. Please submit this Voting Form, with your evidence, either:
  - (a) **by email** to [lfsa@huntswood.com](mailto:lfsa@huntswood.com) attaching the relevant evidence to the same email as your completed Voting Form; or
  - (b) **by post** to **LFSL Scheme Vote, PO Box 16840, Birmingham B2 2US** and including your completed Voting Form in the same envelope with copies of the relevant evidence.
3. You may only complete this Voting Form if you are a Scheme Creditor or an Authorised Representative of a Scheme Creditor. Examples of who is entitled to vote as a Scheme Creditor can be found in the "*Examples of a Scheme Creditor*" section of the Explanatory Statement.
4. Throughout this Voting Form we refer to the "shares" in the WEIF. You may be more familiar with the term "units". For the purposes of this Voting Form, they mean the same thing.
5. You should only complete this Voting Form if you are a Scheme Creditor or an Authorised Representative of a Scheme Creditor.
6. You should complete this Voting Form as a Scheme Creditor **only if** you are an individual claiming on your own behalf.
7. You should complete this Voting Form as an Authorised Representative of a Scheme Creditor if you are completing it on behalf of:
  - (a) an individual other than yourself;
  - (b) yourself and another person as joint owners; or
  - (c) a firm, partnership, company, corporation, unincorporated body of persons or any state or state agency, trust or investment fund.

In each case, the person for whom you are completing this Voting Form must be a Scheme Creditor and you must provide evidence of your authority to represent the Scheme Creditor.

8. A **Scheme Creditor** means each person who holds a Scheme Claim as at the Record Date.

9. A **Scheme Claim** means all actual, potential, alleged, threatened or disputed Liabilities that a Suspension Date Investor is owed or may be owed by LFSL arising out of, or in connection with:
- (a) the WEIF during any period up to, and including, the Record Date, including any proceedings and claims therein (including but not limited to, the civil claims issued against LFSL with claim numbers FL-2022-000011, FL-2022-000012, FL-2022-000033 and FL-2023-000003); and
  - (b) the Group Contribution Deed Liabilities.
10. A **Suspension Date Investor** is a person who had a beneficial interest in a share or shares in the WEIF as at 12.00 p.m. on 3 June 2019; and, if a Suspension Date Investor has transferred all or any of its beneficial interest in a share or shares in the WEIF, any person who has such beneficial interest (or as applicable, relevant part of it) as at the Record Date.
11. A person will have a **beneficial interest** in the WEIF if (in summary) they:
- (a) directly held shares in the WEIF in their own name or for their own benefit (as opposed to holding them on behalf of someone else). Please note that the vast majority of Scheme Creditors who are private individuals **will not** be direct investors. LFSL will seek to separately contact those private individuals whom it believes to be direct investors to let them know that they are direct investors in the WEIF. If you have not received a confirmation from LFSL that you are a direct investor, you should assume that you are an indirect investor; or
  - (b) indirectly held shares in the WEIF in their name through an intermediary, such as through an online investment platform, or if their shares in the WEIF are held and managed by trustees or nominees on their behalf. Scheme Creditors who held their shares through an investment platform are entitled to vote on the Scheme.
12. A person will not have a beneficial interest in the WEIF (and is not a Scheme Creditor) if they have invested in a fund, company or trust that has in turn invested in the WEIF.
13. If you have any questions on whether you should submit a vote as a Scheme Creditor or an Authorised Representative, please contact LFSL's dedicated helpline by phone at +44 20 3991 0224.
14. If you consider that you have made a mistake, or wish to change any information that you have submitted in your Voting Form, you will be able to send an updated Voting Form to LFSL up until the Registration Deadline. If you are completing Option 4, to attend the Scheme Meeting in person, you must ensure that you have completed and returned your Voting Form by 30 November 2023.

## **What is the purpose of this Voting Form?**

**Section 1** and **Section 2** of this Voting Form are intended to confirm your details and the shares in the WEIF in relation to which you are completing this Voting Form. **Section 3** will require the person completing this Voting Form to confirm that they are completing the form as either a Scheme Creditor or as an Authorised Representative.

In **Section 4** you will be able to vote on the Scheme and indicate whether you would like to attend the Scheme Meeting. Section 4 will include four Options to vote, and Scheme Creditors will be asked to select only one of the following Options:

- **Option 1:** register your intention to appoint the Chair of the Scheme Meeting to vote on the Scheme on your behalf.
- **Option 2:** register your intention to appoint a proxy to attend the Scheme Meeting on your behalf.
- **Option 3:** register your intention to remotely attend and vote at the Scheme Meeting (online or by telephone); or
- **Option 4:** register your intention to attend the Scheme Meeting in person. Please note that if you are completing Option 4, you must ensure that you have completed and returned your Voting Form by 30 November 2023.

If you select Option 3 or Option 4 (or otherwise contact the helpline to request to attend the virtual Scheme Meeting), details of how you can attend the Scheme Meeting will be provided to any Scheme Creditor or Authorised Representative or proxy of a Scheme Creditor who registers an intention to attend.

Scheme Creditors will be able to join the Scheme Meeting online or by telephone. Scheme Creditors who are unable to join online or by telephone, and who wish to join the Scheme Meeting in person, should explain this in Section 4. LFSL will make a space available for Scheme Creditors to join the Scheme Meeting in person with details of the location being provided to any Scheme Creditor or Authorised Representative or proxy of a Scheme Creditor who registers an intention to attend in person. **Scheme Creditors wishing to attend in person must ensure that their completed Voting Form is received by LFSL by no later than 30 November 2023.**

## **What do you need to complete this Voting Form?**

Before completing this Voting Form, please ensure that you have the following information. You will need this information to submit a valid vote or to validly pre-register to attend the Scheme Meeting. If you do not provide this information, your vote may be rejected or you may not be permitted to attend the Scheme Meeting.

*If you are a Scheme Creditor completing this Voting Form on your own behalf, you will need:*

1. your personal details (name, email, date of birth and phone number); and
2. the name of each intermediary (e.g. the broker or investment platform) through which you hold your shares in the WEIF (if applicable); and
3. your client number with each intermediary through whom you invested in the WEIF (if applicable); and
4. details of your shareholdings in the WEIF, including the share class(es) and number of shares for each share class that you hold in the WEIF with each intermediary; and
5. evidence that you hold a Scheme Claim. This can be in the form of:
  - a. copies of your investment account showing your name, current shareholdings in the WEIF, together with your platform and client number; or
  - b. a letter or statement from your broker/platform showing your current holdings in the WEIF, together with your client number; or
  - c. if you are a direct investor, a statement of your investment account showing your name and holdings in the WEIF. LFSL will verify this against the WEIF's register of shareholders; or
  - d. if you are a holder of a Scheme Claim only, and do not hold shares in the WEIF, please provide evidence that all or any part of a Scheme Claim was transferred to you on or prior to the Record Date.
6. if you acquired your shareholdings in the WEIF after 3 June 2019, evidence of how you obtained those shareholdings.

**Important note in relation to number 5 above:** You only need to provide evidence of your shareholdings in the WEIF. You do not need to disclose any other investments which you may have, outside of the WEIF.

If you held shares in the WEIF on 3 June 2019, but no longer hold some or all of these shares, and you believe you are a Scheme Creditor, you should contact LFSL's dedicated helpline on +44 20 3991 0224 to register to vote.

*If you are an Authorised Representative, you will need:*

1. your personal details (name, email and phone number); and
2. the name and date of birth (not applicable if the Scheme Creditor is a corporate, trust or similar entity) of the Scheme Creditor whom you represent. If you represent Scheme Creditors that hold their shares jointly, please include the name and date of birth of each joint holder in this Voting Form. If you are an Authorised Representative for multiple Scheme Creditors who do not hold their shares jointly, please complete a separate Voting Form for each Scheme Creditor whom you represent; and
3. the name of any intermediary (e.g. the broker or investment platform) through which the Scheme Creditor holds their shares in the WEIF (if applicable); and
4. the Scheme Creditor's client number with the intermediary or intermediaries through which they hold their investment in the WEIF (if applicable); and
5. details of the Scheme Creditor's shareholdings in the WEIF, including the share class(es) and number of shares for each share class that the Scheme Creditor whom you represent holds in the WEIF with each intermediary; and
6. evidence that the Scheme Creditor holds their shares in the WEIF. This can be in the form of:
  - a. copies of the Scheme Creditor(s) investment account showing their name and current shareholdings in the WEIF, together with their platform and client number; or
  - b. a letter or statement from the Scheme Creditor's broker/platform showing their holdings in the WEIF, together with their client number; or
  - c. if the Scheme Creditor is a direct investor, a statement showing the Scheme Creditor's name and that the Scheme Creditor is the registered holder of the number of shares indicated in this Voting Form. LFSL will verify this against the WEIF's register of shareholders; and
7. if the Scheme Creditor acquired their shareholdings in the WEIF after 3 June 2019, evidence of how they obtained those shareholdings; and
8. evidence of your authority to vote for the Scheme Creditor(s) you represent. This evidence could be in the form of (amongst other things):
  1. a letter of authority signed by the Scheme Creditor; or
  2. a copy of the power of attorney, if you hold a power of attorney for the Scheme Creditor; or
  3. a copy of the grant of probate or letter of administration, if you are the executor or administrator of a deceased Scheme Creditor; or

4. evidence of parental responsibility or evidence of guardianship if the Scheme Creditor is a minor.

The above list is not exhaustive.

**Important note in relation to number 6 above:** You only need to provide evidence of the Scheme Creditor's shareholdings in the WEIF. You do not need to disclose any other investments which the Scheme Creditor you represent may have, outside of the WEIF.

**Important information about voting:**

- Any Voting Forms received by LFSL after 5.00 p.m. on 4 December 2023 (the **Registration Deadline**), or Voting Forms which are incomplete, will not be counted for voting or pre-registration, unless the Chair of the Scheme Meeting otherwise agrees.
- All Scheme Creditors, including those that did not vote on the Scheme or who voted against it, will be automatically bound by the Scheme if it becomes effective.

Sections 1, 2, 3 and 4 of this Voting Form are all mandatory and must be completed in order for you to attend the Scheme Meeting or to submit your vote.

**Section 1 – Your Details**

- A. Please provide details of the person who is submitting this form. If you are a Scheme Creditor, you should put your details in here. If you are an Authorised Representative, you should put your details here and add the Scheme Creditor's details in part B below, where indicated.

**Full Name:** \_\_\_\_\_

**Email address:** \_\_\_\_\_

**Telephone number:** \_\_\_\_\_

**Nature of authority  
(for Authorised Representatives only):** \_\_\_\_\_

**Date of Birth (for individuals only):** \_\_\_\_\_

- B. If you are an Authorised Representative please provide the details of the Scheme Creditor on whose behalf you are completing this Voting Form here. If the Scheme Creditors are joint owners, please provide the details of all relevant Scheme Creditors.

**Scheme Creditor's Full Name:** \_\_\_\_\_

**Scheme Creditor Date of Birth (for individuals only)**      \_\_ / \_\_ / \_\_\_\_

**Scheme Creditor's Full Name:** \_\_\_\_\_

**Scheme Creditor Date of Birth (for individuals only)**      \_\_ / \_\_ / \_\_\_\_

- C. If you are an Authorised Representative, please provide evidence of the authorisation that entitles you to represent this Scheme Creditor. This evidence can be in the form of:

1. a letter of authority signed by the Scheme Creditor; or
2. a copy of the power of attorney, if you hold a power of attorney for a Scheme Creditor; or
3. a copy of the grant of probate or letter of administration, if you are the executor or administrator of a deceased Scheme Creditor; or
4. evidence of parental responsibility or evidence of guardianship if the Scheme Creditor is a minor.



Please note that this list is not exhaustive and if you have some other form of evidence you should provide it.

## **Section 2 – The Scheme Creditor's holding in the WEIF**

**In this Section 2, please complete either Part (I) if you are a Direct Investor or (II) if you are an Indirect Investor. Information is provided in this Section 2 to help you decide if you are (or, if you are an Authorised Representative, if the Scheme Creditor who you are completing this Voting Form is) a Direct Investor or Indirect Investor.**

### **Part (I) Direct Investors**

- A. Are you (or, if you are an Authorised Representative, is the Scheme Creditor who you are completing this Voting Form for) invested directly in the WEIF? Please note that the vast majority of Scheme Creditors who are private individuals will not be direct investors. LFSL will separately contact those investors who it considers to be direct investors to let them know that they have been classified as direct investors. If you have not received this confirmation, you should assume that you are an indirect investor.
- B. If you are (or if you are an Authorised Representative, the Scheme Creditor you represent is) a direct investor please complete the following table to provide details of which class of shares you hold (or, if you are an Authorised Representative, the Scheme Creditor you are voting for holds) in the WEIF and the number of shares held in that class. You may provide information for multiple share classes, if you hold (or, if you are an Authorised Representative, the Scheme Creditor you are voting for holds) multiple investments in the WEIF. LFSL will then verify those holdings against the register of holders.
- C. If you do not hold shares in the WEIF, but otherwise consider that you have a Scheme Claim, please provide details of the class of shares, and number of shares held in that class, that your Scheme Claim is in relation to. Please provide evidence that all or any part of a Scheme Claim was transferred to you on or prior to the Record Date.

Please complete the following table if A, B or C applies to you:

<b>Share Class (and ISIN<sup>1</sup>)</b>	<b>Number of shares</b>
A Sterling Accumulation ISIN: GB00BLRZQ513	
A Sterling Income ISIN: GB00BLRZQ406	
C Sterling Accumulation ISIN: GB00BLRZQ737	
C Sterling Income	

<sup>1</sup> If you are unsure about your Share Class, you can check the ISIN instead, as each Share Class has a specific ISIN. You can also ask your investment platform or broker to confirm which Share Class you have invested in.

Share Class (and ISIN <sup>1</sup> )	Number of shares
ISIN: GB00BLRZQ620	
Z Sterling Accumulation ISIN: GB00BLRZQC88	
<u>Z Sterling Income</u> ISIN: GB00BLRZQB71	
X Sterling Accumulation ISIN: GB00BLRZQ950	
X Sterling Income ISIN: GB00BLRZQ844	
F Sterling Accumulation ISIN: GB00BZ01L372	

If you are a Scheme Creditor completing this Voting Form on your own behalf, **please provide evidence with this Voting Form** that you hold your shares in the WEIF. This can be in the form of printed copies of your investment account, or statements or letters showing your current shareholdings in the WEIF. **Important note:** You only need to provide evidence of your shareholdings in the WEIF. You do not need to disclose any other investments which you may have, outside of the WEIF. If you do disclose information in respect of any other holdings, this information will not be processed.

If you are an Authorised Representative completing this Voting Form on behalf of a Scheme Creditor, **please provide evidence with this Voting Form** that that Scheme Creditor holds their shares in the WEIF. This can be in the form of printed copies of their investment account, or statements or letters showing their current shareholdings in the WEIF. **Important note:** You only need to provide evidence of the Scheme Creditor's shareholdings in the WEIF. You do not need to disclose any other investments which the Scheme Creditor may have, outside of the WEIF. If you do disclose information in respect of any other holdings, this information will not be processed.

If you experience any difficulty providing evidence, please contact LFSL's dedicated helpline by phone at +44 20 3991 0224.

**Further information on shareholding**

Did you (or, if you are an Authorised Representative, did the Scheme Creditor who you are completing this Voting Form for) hold all these shares listed above on 3 June 2019? Please circle either Yes or No.

**Yes**

**No**

If No, please provide details of how you (or, if you are an Authorised Representative, how the Scheme Creditor who you are completing this Voting Form for) acquired these shares after 3 June 2019 below.

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## Part (II) Indirect investors

- D. Did you (or, if you are an Authorised Representative, the Scheme Creditor who you represent) invest in the WEIF through an intermediary (e.g. a broker or an investment platform)? Or are you a holder of a Scheme Claim only, and that Scheme Claim is in relation to shares in the WEIF held through an intermediary? If yes, please complete the following table to provide details of which class of shares are held in the WEIF through the intermediary and the number of shares held in that class with that intermediary. You may provide information for multiple share classes if multiple investments in the WEIF are held.

**Intermediary Name:** \_\_\_\_\_  
(please refer to **Appendix 1** for a list of Intermediaries)

**The Scheme Creditor's Account / Client  
number with the intermediary:** \_\_\_\_\_

Please complete the following table if D applies to you:

Share Class (and ISIN <sup>2</sup> )	Number of shares
A Sterling Accumulation ISIN: GB00BLRZQ513	
A Sterling Income ISIN: GB00BLRZQ406	
C Sterling Accumulation ISIN: GB00BLRZQ737	
C Sterling Income ISIN: GB00BLRZQ620	
Z Sterling Accumulation ISIN: GB00BLRZQC88	
<u>Z</u> Sterling Income ISIN: GB00BLRZQB71	
X Sterling Accumulation ISIN: GB00BLRZQ950	
X Sterling Income ISIN: GB00BLRZQ844	

<sup>2</sup> If you are unsure about your Share Class, you can check the ISIN instead, as each Share Class has a specific ISIN. You can also ask your investment platform or broker to confirm which Share Class you have invested in.

Share Class (and ISIN <sup>2</sup> )	Number of shares
F Sterling Accumulation ISIN: GB00BZ01L372	

**If you have invested in the WEIF through different Intermediaries, you will need to complete this Part (II) for details of each Intermediary. If you are able to, please print or photocopy this Section 2 to provide details in relation to each Intermediary through which you held shares in the WEIF. If you are unable to, please contact LFSL's dedicated helpline by phone at +44 20 3991 0224.**

**Please also provide evidence with this Voting Form** of the shares in the WEIF that you hold (or, in the case of an Authorised Representative, the Scheme Creditor who you represent, holds in the WEIF) in the form of printed copies of a letter or a statement from an intermediary showing the Scheme Creditor's holdings in the WEIF, and the Scheme Creditor's client number. If you have invested in the WEIF through different intermediaries, please provide evidence for each intermediary.

If you do not hold shares in the WEIF, but otherwise consider that you hold a Scheme Claim, **please provide evidence with this Voting Form** that all or any part of a Scheme Claim was transferred to you on or prior to the Record Date.

**Important note:** You only need to provide evidence of your shareholdings (or, in the case of an Authorised Representative, the Scheme Creditor who you represent, holds) in the WEIF. You do not need to disclose any other investments which you (or, in the case of an Authorised Representative, the Scheme Creditor who you represent), may have, outside of the WEIF. If you do disclose information in respect of any other holdings, this information will not be processed.

If you experience any difficulty providing evidence, please contact LFSL's dedicated helpline by phone at +44 20 3991 0224.

### **Further information on shareholding**

Did you (or, if you are an Authorised Representative, did the Scheme Creditor who you are completing this Voting Form for) hold all these shares listed above on 3 June 2019? Please circle either Yes or No.

**Yes**

**No**

If No, please provide details of how you (or, if you are an Authorised Representative, how the Scheme Creditor who you are completing this Voting Form for) acquired these shares after 3 June 2019 below.

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### **Section 3**

Please tick this box to confirm if you are completing this Voting Form as a Scheme Creditor:

- Scheme Creditors:** I am a Scheme Creditor

If applicable to you, please tick the following boxes to confirm that:

- Holdings in the WEIF:** I continue to hold all of the shares in the WEIF listed above, and have not sold or otherwise transferred them to any other person
- Verification:** I consent to LFSL verifying my holdings in the WEIF with the intermediaries named above

Please tick this box to confirm if you are completing this Voting Form as an Authorised Representative:

- Authorised Representatives:** I am an Authorised Representative of a Scheme Creditor

If applicable to you, please tick the following boxes to confirm that:

- Holdings in the WEIF:** the Scheme Creditor holds all of the shares in the WEIF listed above, and has not sold or otherwise transferred them to any other person
- Verification:** I consent on behalf of the Scheme Creditor to LFSL verifying the Scheme Creditor's holdings in the WEIF with the intermediaries named above



## Section 4 – Voting

Please complete this Section 4 to vote on the Scheme. Please select and complete **only one** of: Option 1, Option 2, Option 3 or Option 4 below. If you select more than one Option, then your vote will not be counted.

By completing and submitting this Voting Form, the Scheme Creditor named above (individually or, where applicable, through the Authorised Representative) undertakes that they shall not transfer their holding of shares in the WEIF and/or Scheme Claim to another person until after the completion of the Scheme Meeting or that, to the extent that they do transfer their holding and/or Scheme Claim to another person (the **transferee**), they shall procure that the transferee agrees to be bound by the vote submitted by that Scheme Creditor.

Option	Confirmation	Notes
<b>Option 1</b>	<p>I wish to appoint the Chair of the Scheme Meeting to vote on the Scheme and I instruct him to:</p> <p>vote for the Scheme <input type="checkbox"/></p> <p>vote against the Scheme <input type="checkbox"/></p>	<p>If you want the Chair of the Scheme Meeting to vote on the Scheme at the Scheme Meeting, please tell us how you want him to vote by ticking the relevant box in this row. If you pick this option, you do not have to attend the Scheme Meeting.</p> <p><i>If you select this option, and would still like to attend the virtual Scheme Meeting, please contact LFSL's helpline by phone at +44 20 3991 0224</i></p>
<b>Option 2</b>	<p>I wish to appoint someone other than the Chair to vote on the Scheme and I instruct them to:</p> <p>vote for the Scheme <input type="checkbox"/></p> <p>vote against the Scheme <input type="checkbox"/></p> <p>vote at their discretion <input type="checkbox"/></p>	<p>If you want someone other than the Chair of the Scheme Meeting to vote on the Scheme on your behalf (the <b>Proxy</b>), please tell us who you want to appoint as proxy by completing their name, e-mail and telephone number in the space below. Please also tell us how you want your Proxy to vote by ticking the relevant box in this row. If you tick the "Vote at their discretion" box, your Proxy can decide whether to vote for or against the Scheme.</p> <p>If you pick this option, this vote will count only if your Proxy attends and votes at the virtual Scheme Meeting. If your Proxy does not want to attend the Scheme Meeting, please complete Option 1 of this Section instead (and the Chair of the virtual Scheme Meeting will vote for you).</p> <p>Name of Proxy .....</p> <p>Email address of Proxy .....</p> <p>Telephone number of Proxy .....</p> <p><i>If you select this option, and would still like to attend the Scheme Meeting, please contact LFSL's dedicated team by phone at +44 20 3991 0224</i></p>

<p><b>Option 3</b></p>	<p>I will attend the virtual Scheme Meeting remotely. I intend to:</p> <p>vote for the Scheme <input type="checkbox"/></p> <p>vote against the Scheme <input type="checkbox"/></p> <p>vote at discretion <input type="checkbox"/></p>	<p>If you pick this option, this vote will only count if you attend and vote at the virtual Scheme Meeting.</p>
<p><b>Option 4</b></p>	<p>I wish to attend the Scheme Meeting in person because I am unable to attend online or by phone. I intend to:</p> <p>vote for the Scheme <input type="checkbox"/></p> <p>vote against the Scheme <input type="checkbox"/></p> <p>vote at discretion <input type="checkbox"/></p>	<p>If you need to attend the Scheme Meeting in person, please provide the following details:</p> <p>Postal address .....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>Please briefly explain why it is that you are unable to join online or by phone and wish to attend the Scheme Meeting in person</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>If you pick the "Vote at discretion" option, this vote will only count if you attend and vote at the Scheme Meeting.</p> <p><i>Please note: You do not have to attend the (either remotely or in person) to have your say. By completing Option 1, you can ask the Chair of the Scheme Meeting to vote for you, in accordance with your wishes, without attending the Scheme Meeting.</i></p>

**IMPORTANT NOTE:** By voting in favour of the Scheme, you also authorise LFSL to consent on your behalf, at any Court hearing to sanction the Scheme, to any modification of or addition to the Scheme or any terms or conditions to the Scheme that would not directly or indirectly have a materially adverse effect on the interests of Scheme Creditors, in their capacity as Scheme Creditors, under the Scheme.

## **Definitions**

An **Authorised Representative** is a person who is authorised to represent a Scheme Creditor. Authorised Representatives will be required to provide details of their authorisation as part of this Voting Form.

The **Chair of the Scheme Meeting** is an independent person who is responsible for calculating Scheme Creditors' votes at the Scheme Meeting. LFSL has appointed, and the Court has approved, Jamie Drummond-Smith to act as Chair of the Scheme Meeting.

The **Explanatory Statement** is the document that explains the reasons why the Scheme has been proposed and the effect of the Scheme on Scheme Creditors. It is available on the Scheme Website.

**Group Contribution Deed Liabilities** means the Indemnified Liabilities, as such

term is defined in the Group Contribution Deed. The Group Contribution Deed means the deed of contribution and indemnity dated 5 October 2023 between LFSL and Link Administration Holdings Limited.

**Proceedings** means any process, action, step, or other legal proceeding including, without limitation, any request for relief or any other determination from any court or tribunal in any jurisdiction, any demand, arbitration, alternative dispute resolution, judicial review, adjudication, referral to the Financial Ombudsman Service, execution, diligence, seizure, distraint, forfeiture, re-entry, lien, enforcement of judgment or enforcement of any security interest.

A **Scheme Creditor** means each person who holds a Scheme Claim as at the Record Date.

A **Scheme Claim** means all actual, potential, alleged, threatened or disputed Liabilities that a Suspension Date Investor is owed or may be owed by LFSL arising out of, or in connection with:

- (a) the WEIF during any period up to, and including, the Record Date, including any Proceedings and claims therein (including but not limited to, the civil claims issued against LFSL with claim numbers FL-2022-000011, FL-2022-000012, FL-2022-000033 and FL-2023-000003); and
- (b) the liabilities indemnified by LFSL under the Group Contribution Deed (the **Group Contribution Deed Liabilities**),

but in each case, excluding any claim against LFSL for any client monies (within the meaning of the FCA Handbook) that LFSL holds in its client money account(s) (a Client Money Claim).

A **Suspension Date Investor** is a person who had a beneficial interest in a share or shares in the WEIF as at 12.00 p.m. (London time) on 3 June 2019; and, if a Suspension Date Investor has transferred all or any of its beneficial interest in a share or shares in the WEIF, any person who has such beneficial interest (or as applicable, relevant part of it) as at the Record Date.

The **Record Date** is the date falling one Business Day prior to the Scheme Meeting. The Record Date is expected to be 12 December 2023.

The **Scheme Meeting** is a meeting of Scheme Creditors which has been ordered by the Court to take place at 10am on 13 December 2023. At the Scheme Meeting, Scheme Creditors will have the opportunity to consider the Scheme and decide whether they would like to vote in favour of it, or not.

**Appendix 1**  
**(List of Intermediaries)**

- 1 Accrue Exempt Nominees Limited
- 2 Aegon Investment Solutions Nominee 1 (Gross) Ltd
- 3 Aegon Investment Solutions Nominee 2 (Net) Ltd
- 4 Aegon Investment Solutions Nominee 3 (ISA) Ltd
- 5 Aegon Sipp Nominee 2 Ltd
- 6 Aegon SIPP Nominee Ltd
- 7 Allfunds Bank International SA
- 8 Allfunds Bank Sau
- 9 Allfunds Bank Sau Succursale De Paris
- 10 Allfunds Nominee Limited
- 11 Arbuthnot Latham (Nominees) Limited
- 12 Aviva Client Nominees UK Limited
- 13 Aviva Pension Trustees Uk Ltd
- 14 Axa China Region Insurance Company (Bermuda) Ltd
- 15 Bank Lombard Odier & Co Ltd
- 16 Banque De Luxembourg Sa
- 17 Barclays Direct Investing Nominees Limited
- 18 Barratt and Cooke Nominees Limited
- 19 BNP Paribas Securities Nominees Ltd
- 20 BNY (OCS) Nominees Ltd
- 21 Brown Brothers Harriman & Co
- 22 Canada Life International Assurance (Ireland) DAC
- 23 Canada Life International Limited
- 24 Canada Life Limited
- 25 Capital International (Nominees) Ltd
- 26 Cardale Nominees Limited
- 27 Carey Corporate Trustees UK LTD
- 28 Carey Workplace Pension Trust
- 29 CIL HI (Nominees) Limited
- 30 Clearstream Banking SA
- 31 Clearstream Banking SA / CBL 11215
- 32 Cofunds Nominees Limited
- 33 Colston Trustees Limited
- 34 Digital Custody Nominees Limited
- 35 Embark Investment Services Nominees Limited
- 36 Fil Nominee (Shareholdings) Limited
- 37 FNZ (UK) Nominees Limited
- 38 FNZ Wealth Nominees Limited
- 39 Fundsdirect Nominees Limited
- 40 Fundsettle Eoc Nominees Limited
- 41 Guinness Mahon Self Invested Pension Scheme
- 42 HALB Nominees Limited
- 43 Hargreaves Lansdown Nominees Limited

44 Hero Nominees Limited  
45 HORNBUCKLE MITCHELL SIPP  
46 HSBC GLOBAL CUSTODY NOMINEES (UK) LIMITED  
47 HSBC UK Client Nominee Limited  
48 Hubwise Nominees Limited  
49 Huntress (CI) Nominees Limited  
50 Huzune Limited  
51 Imws (Nominees) Ltd  
52 Investor Nominees (Dundee) Limited  
53 James Hay Pension Trustees Ltd  
54 James Hay Wrap Nominee Company Limited  
55 JIM Nominees Limited  
56 Lion Nominees Limited  
57 Maunby Nominees Limited  
58 Minster Nominees Ltd  
59 Mobius Life Limited  
60 Monument International Life Assurance Company Ltd  
61 Nedgroup Private Wealth Nominees (IOM) Limited  
62 NFS (Nominees) Limited  
63 Northern Trust Nominees (Ireland) Ltd  
64 Nortrust Nominees Limited  
65 Novia (Gross) Nominee Limited  
66 Novia (ISA) Nominee Limited  
67 Novia (Net) Nominee Limited  
68 Nucleus Trustee Company Limited  
69 Parmenion Nominees Limited  
70 Pershing (CI) Nominees Limited  
71 Pershing International Nominees Limited  
72 Pershing Nominees Limited  
73 Phoenix Life Limited  
74 Phoenix Wealth Trustee Services Ltd  
75 Platform One Nominee Limited  
76 Principal Nominees Limited  
77 QGCI Nominees Limited  
78 Quilter International Ireland Dac  
79 Quilter Investment Platform Nominees Limited  
80 Quilter Life & Pensions Limited  
81 Quintet Private Bank (Europe) S.A.  
82 R C Greig Nominees Ltd  
83 Rathbone Nominees Limited  
84 RBC Investor Services S.A.  
85 Rene Nominees (IOM) Ltd  
86 Rensburg Client Nominees Limited  
87 REPUBLIC NOMINEES LIMITED

88 Rock (Nominees) Limited  
89 Ropemaker Nominees Limited  
90 Rowanmoor Trustees Limited  
91 Sanlam Life & Pensions UK Ltd-Nucleus Bond  
92 Schroder & Co Bank Ag  
93 Schroder & Co Nominees Limited  
94 Scottish Equitable PLC  
95 Scottish Friendly Assurance Society Limited  
96 Scottish Widows Ltd  
97 SEB Life International Ltd  
98 Secure Nominees Limited  
99 SGBT LUX/SGHCI CASH  
100 Smith & Williamson Nominees Ltd  
101 Standard Life Assurance Limited  
102 State Street Nominees Limited  
103 Sterling ISA Managers (Nominees) Limited  
104 The Bank Of New York (Nominees) Ltd  
105 The Corporation of Lloyds  
106 Transact Nominees Limited  
107 Ubs Private Banking Nominees Limited  
108 Utmost International Isle of Man Limited  
109 Utmost International Isle Of Man Ltd – Singapore  
110 Utmost Limited  
111 Utmost Pan Europe Dac  
112 VA34 Nominees Limited  
113 Vestra Nominees Limited  
114 Vidacos Nominees Limited  
115 Walpole St Andrew Nominees Ltd  
116 Wealthtek Nominees Limited  
117 Wealthtime Trustees Limited  
118 Whitechurch Nominees Ltd  
119 Winterflood Client Nominees Limited  
120 Zeban Nominees Limited  
121 Zurich Assurance Limited